

## SECTION 6 – TECHNICAL REQUIREMENTS – SYSTEM REQUIREMENTS SPECIFICATION (SyRS)

### EXHIBIT 1 – INTERFACES

The following table shows the currently identified interfaces by their associated functions to assist the Contractor. During requirements validation, all of the following information needs to be verified and interface needs identified. All of the information provided is for informational purposes only and does not contain any system or Contractor requirements. The information below is subject to change.

INTERFACE	STATUS	CURRENT	FREQ	MEDIA	SOURCE	TARGET	TYPE	SUB-TYPE	DESCRIPTION
<b>Accounting</b>									
Long Paid Claims	<b>Required</b>	Y	Monthly	Tape	CMIPS	DHS	Send	N/A	Department of Health Service receives paid claims data for any records with federal financial participation (FFP) with Medi-Cal. The Medical Care Statistics Section uses the data for statistical reporting on each waiver program.  CMIPS provides a monthly tape of paid claims data for all IHSS Medi-Cal recipients. DHS Information Technology Services Division (ITSD) receives the tape on the 6 <sup>th</sup> working day after payment. ITSD forwards the tape to HHSDC, who copies the files to the mainframe.
Third Party Liability	<b>Required</b>	Y	Monthly	FTP	CMIPS	DHS	Send	N/A	The TPL system ensures that all reasonable measures are taken to identify liable third parties and to utilize such resources to minimize the financial obligation of the taxpayer. It also provides TPL information to providers of medical services to facilitate their direct billing of liable third parties.
<b>Case</b>									
Client Referral	<b>Required</b>	N	Daily	FTP	Many	CMIPS	Receive	N/A	External Partners should have the ability to send electronic referrals of potential clients. Sources could include Medi-Cal offices, Department of Aging etc.
Long Term Care	Not Required	Y	One-Off	Unknown	CMIPS	DHS	Send	N/A	DHS provides data from IHSS, MSSP, Aging, and Medi-Cal to assist the counties in determining the cost of integrating all long term care programs.  As a one-time request, the incumbent Contractor provided 4 years of data (94-97), including ADL, IADL, functional ratings, and cognitive ratings.

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INTERFACE	STATUS	CURRENT	FREQ	MEDIA	SOURCE	TARGET	TYPE	SUB-TYPE	DESCRIPTION
MSSP Request	Required	Y	Monthly	E-mail	DOA	CMIPS	Request/ Response	Request	Multi-Purpose Senior Services Program Report. Request for information from DOA. DOA bills MSSP through the Contractor for aged and frail clients who are certifiable for a nursing facility.  Monthly, the MSSP office receives a file from each of 35 local sites, compiles the monthly files, creates a single text file, and sends the text file via email to CMIPS. The contractor processes the file, provides 2 reports, and provides a text file of the MSSP Report.
MSSP Response	Required	Y	Monthly	FTP	CMIPS	DOA	Request/ Response	Response	Return of additional information to DOA. The MSSP File and Report layouts are located in the CMIPS User's Manual, Section XV-II.
National Death Match	Required	N	Unknown		DHS	CMIPS	Receive	N/A	DHS supplies a national file of SSNs that have become ineligible for payment due to death. These SSNs shall be compared against CMIPS recipients and providers for matches.
PA Referral	Required	N	Daily	FTP	CMIPS	PA	Send	N/A	If a client requests help from a PA in finding a provider the system should have the ability to flag the request and send the referral overnight to the PAs automated system.
SCI Referral File	Required	Y	Daily		SCI	CMIPS	Receive	N/A	Changes to SCI records
SSN Validation Request	Required	N	Daily	FTP	CMIPS	DHS	Request/ Response	Request	List of new providers and recipients SSN to validate against the copy of the SSA's SSN database.
SSN Validation Response	Required	N	Daily	FTP	DHS	CMIPS	Request/ Response	Response	Response to the request to verify.
State Death Match	Required	N	Unknown	FTP	DHS	CMIPS	Receive	N/A	CMIPS receives a file of State SSNs that are ineligible due to death. The SSNs are required to be compared against recipients and providers.
State Compensation Insurance fund	Required	N	Unknown	FTP	SCIF	CMIPS	Receive	N/A	Update of active workers compensation cases
<b>County Contractor</b>									
CC Reconciliation	Required	Y	As Rec'd	Diskette	CC	CMIPS	Request / Response	Request	Each county contractor produces a monthly diskette of payroll invoices. CMIPS processes the file and returns the diskette updated with paid information for reconciliation.

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INTERFACE	STATUS	CURRENT	FREQ	MEDIA	SOURCE	TARGET	TYPE	SUB-TYPE	DESCRIPTION
CC Payroll	<b>Required</b>	Y	As Rec'd	Diskette	CMIPS	CC	Request / Response	Response	CMIPS processes the payments detail file and returns the diskette updated with paid information for reconciliation.
CC Referral	<b>Required</b>	N	As Rec'd	FTP	CMIPS	CC	Send	N/A	New clients to be serviced by the contracting agency and/or updates to current clients.
<b>Eligibility</b>									
CDS weekly	Not Required	Y	Weekly	Tape	CMIPS	CDS	Send	N/A	CMIPS provides CDS with a weekly tape of case information to update master files and provide history on IHSS clients.
MEDS Receive	<b>Required</b>	Y	Quarterly	Report	MEDS	CMIPS	Receive	N/A	This is the response to the MEDS reconciliation report sent quarterly. Interface generates the case erroneous data report that is normally sent to the county Medi-Cal Office. This interface is now available from MEDS via FTP.
MEDS Recon	<b>Required</b>	Y	Quarterly	FTP	CMIPS	MEDS	Send	N/A	CMIPS also creates a quarterly reconciliation file that is delivered electronically.
MEDS Send	Not Required	Y	Weekly	FTP	CMIPS	MEDS	Send	N/A	The MEDS interface is a weekly tape file match generated by CMIPS. Currently only 10 counties participate. From this tape, DHS centrally generates Medi-Cal cards and mails them to the recipients.
Pickle Potentials	Not Required	Y	Annually	Tape	DHS	CMIPS	Receive	N/A	An annual file from DHS of clients that may become 'Pickle' eligible due to annual COLA changes affecting their SSI/SSP eligibility
SAWS Request	<b>Required</b>	N	Daily	FTP	CMIPS	SAWS	Request/Response	Request	A file of pending cases requesting financial eligibility determination.
SAWS Response	<b>Required</b>	N	Daily	FTP	SAWS	CMIPS	Request/Response	Response	File of cases that have completed financial eligibility.
SSI/SSP	<b>Required</b>	Y	Monthly	Tape	DHS	CMIPS	Receive	N/A	A file of all changes to eligibility for IHSS recipients due to income, death etc. This tape comes from SSA via the State Data Exchange.
WCMIS Send	Not Required	Y	Daily	FTP	CMIPS	WCMIS	Send	N/A	CMIPS provides a daily file to the Los Angeles County Welfare Case Management Information System. Counties are converting from WCMIS to LEADER; however, at this time CMIPS does not provide files to LEADER.
<b>Payroll</b>									
Daily Balance sheet	<b>Required</b>	Y	Daily	E-Mail	CMIPS	DSS	Send	N/A	E-mail of the Daily Check Write Balance in Excel format to CDSS.

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FICA	Other Req	Y	Daily	FTP	CMIPS	CMIPS	Send	N/A	FICA FTP - This is a daily interface file that uploads from a PC database with scanned FICA refund cards to the mainframe.
FICA Refund Request	Other Req	Y	Annual	Postcard	CMIPS	Provider	Send	N/A	Mass mailing of postcards to providers notifying them of FICA refunds due.
FICA Refund Warrant	Other Req	Y	Annual	Tape	Provider	CMIPS	Send	N/A	Scanning and processing of FICA refunds due. Upon return, refund cards are scanned using Teleforms into CMIPS and then processed through the SCO. CMIPS cuts a warrant request tape to SCO.
IEVS Wages	<b>Required</b>	N	Monthly	FTP	CMIPS	DHS	Send	N/A	Monthly send of provider wage information to IEVS at DHS to provide more timely payroll information.
IVR	<b>Required</b>	N	Daily	FTP	CMIPS	County IVR	Send	N/A	Interface file of payroll status data sent from CMIPS to any of the Counties IVR applications.
New Employee Registry	<b>Required</b>	N	Weekly	Tape	CMIPS	EDD	Send	N/A	Federally mandated interface to register new employees with the New Employee Register (NER). This file of newly hired employees is sent to EDD.
SSN Prefix Update	Other Req	Y	Monthly	Manual	SSA	CMIPS	Receive	N/A	Every month, the SSA posts a listing from the Social Security Administration showing the new highest Group of Issued Social Security Numbers on their website. The Contractor downloads this file monthly and applies the updates to the Primary SSN Validation table.
TAR	<b>Required</b>	N	Unknown	FTP	CMIPS	TAR	Send	N/A	In-Home Operations at DHS have requested an interface to send PCSP paid hours and provider information to their TAR system.
Timesheet	<b>Required</b>	N	Daily	FTP	Many	CMIPS	Receive	N/A	Mechanism to allow county timesheet scanning applications to send timesheet information directly to the system.
Union Receive	<b>Required</b>	N	Unknown	FTP	Union	CMIPS	Receive	N/A	The Unions will send from their system changes to provider withholdings.
Union Send	<b>Required</b>	N	Unknown	FTP	CMIPS	Union	Send	N/a	CMIPS will send to the unions, either at the state or local level, provider union dues withholding.
Warrant Assignment	<b>Required</b>	Y	Daily	Tape	SCO	CMIPS	Receive	N/A	Warrant numbers received from SCO for warrants produce the previous day.
Warrant Paid	<b>Required</b>	Y	Daily	Tape	STO	CMIPS	Receive	N/A	Cleared warrant file from STO.
Warrant Req Advanced	<b>Required</b>	Y	Monthly	Tape	CMIPS	SCO	Send	N/A	Monthly warrant tape to SCO of advanced payment requests for manual checks.

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Warrant Req Advanced EFT	<b>Required</b>	Y	Monthly	Tape	CMIPS	SCO	Send	N/A	Monthly tape sent to SCO of advanced warrant requests to be processed via EFT.
Warrant Request EFT Pre-Note	<b>Required</b>	Y	Monthly	Tape	CMIPS	SCO	Send	N/A	Tape of new EFT client pre-note processing.
Warrant Req Arrears	<b>Required</b>	Y	Daily	Tape	CMIPS	SCO	Send	N/A	Request for warrants for arrears payments.
Warrants Meals	<b>Required</b>	Y	Semi-Monthly	Tape	CMIPS	SCO	Send	N/A	Meals Warrant Requests.
Warrants Undeliverable	<b>Required</b>	Y	Daily	Report	SCO	CMIPS	Receive	N/A	Updates to CMIPS of warrants that were undelivered and should be voided.
<b>Provider</b>									
PA Receive	<b>Required</b>	N	Unknown	FTP	PA	CMIPS	Receive	N/A	The PA systems will send provider demographic changes and changes to health benefits etc.
PA Send	<b>Required</b>	N	Unknown	FTP	CMIPS	PA	Send	N/A	CMIPS will send provider information including demographic changes, status changes, and health benefit withholdings to the PA systems.
WPCS Provider Demographics	<b>Required</b>	N	Weekly	FTP	CMIPS	DHS	Send	N/A	Download of DHS-IHO provider demographic information for both new providers and modifications to current IHO providers
<b>Tax</b>									
1099	Not Required	Y	Annually	Tape	CMIPS	IRS	Send	N/A	Income reporting. The 1099 tape is sent when there are more than 100 lawsuit interest payments in a single year, otherwise filed manually.
940	Other Req	Y	Annually	Paper	CMIPS	IRS	Send	N/A	CMIPS provides a one-page paper document of Unemployment Tax Return (FUTA) information.
941	Other Req	Y	Quarterly	Paper	CMIPS	IRS	Send	N/A	Employers Quarterly Tax Return.
942	Not Required	Y	Annually	Tape	CMIPS	IRS	Send	N/A	Tax Overpayment Claims for prior year
Account Changes	<b>Required</b>	N	Weekly	FTP	EDD	CMIPS	Send	N/A	Account number changes initiated by EDD to be updated in CMIPS
DE-1 Request	<b>Required</b>	Y	Quarterly	Tape	CMIPS	EDD	Request / Response	Request	Commercial Employer Registration. CMIPS provides EDD with a quarterly tape of commercial employer registration, and EDD responds with the new employer numbers.

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DE-1 Response	Required	Y	Quarterly	Tape	EDD	CMIPS	Request / Response	Response	New Employer numbers from EDD.
DE-1 changes	Required	N	Quarterly	Tape	CMIPS	EDD	Send	N/A	Recipient changes, including but not limited to: demographic changes, address changes, death notifications, leaves, and terminations (EDD Concern of maintaining accurate status of the account. Deletes and changes come off/add to the file in a timely manner)
DE-6	Required	Y	Quarterly	Tape	CMIPS	EDD	Send	N/A	CMIPS provides EDD with a quarterly wage and withholding tape. This includes details of each employee's wages and withholdings per employer.
DE-7	Required	Y	Annually	Tape	CMIPS	EDD	Send	N/A	CMIPS provides EDD with an annual taxable wage and withholding tape. The Annual reconciliation Statement (DE 7) is prepared per employer (Recipient) showing total employee taxable wages, withholdings, and taxes due for the year. The DE 7 must be filed for Recipient for each valid account number in which a DE 6 had been submitted.
DE-7 Return	Required	N	Annually		EDD	CMIPS	Send	N/A	File initiated by EDD of recipient accounts that are out of balance
DE-88	Required	Y	Quarterly	Tape	CMIPS	EDD	Send	N/A	Payroll Deductions Interface. The Payroll Tax Deposit is prepared showing the amounts in each tax and contribution category per employer (recipient).
DE-678 Credit	Required	N	Weekly		CMIPS	EDD	Send	N/A	This form is used for making tax and wage credits to the forms DE 6 and DE 7.
DE-678 Debit	Required	N	Weekly		CMIPS	EDD	Send	N/A	This form is used for making tax and wage debits to the forms DE 6 and DE 7.
UI Rate	Required	N	Annually	Tape	EDD	CMIPS	Receive	N/A	The UI Tax Rate is provided by EDD once a year
W2	Required	Y	Annually	Tape	CMIPS	SSA	Send	N/A	CMIPS provides SSA with an annual tape of W2 reporting.
<b>USPS</b>									
USPS	Required	Y	Bi-Monthly		USPS	CMIPS	Receive	N/A	Postal Service Address validation data. A monthly CASS-Group 1 Software Updates Certified master address file.
<b>Withholding</b>									
Lien Processing Request	Required	N	Daily	FTP	FTB	CMIPS	Request/Response	Request	File to request application of liens to CMIPS providers.

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INTERFACE	STATUS	CURRENT	FREQ	MEDIA	SOURCE	TARGET	TYPE	SUB-TYPE	DESCRIPTION
Lien Processing Response	Required	N	Daily	FTP	CMIPS	FTB	Request/ Response	Response	Results of the attempt to apply liens.